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PTO/SB/21 (09-04) **Application Number** 10/612,491 TRÂNSMITTAL Filing Date July 1, 2003 JUN 1 0 2005 FORM First Named Inventor SAADAT, VAHID Art Unit 3763 **Examiner Name** Unassigned used for all correspondence after initial filing) Attorney Docket Number 021496-002112US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please identify Extension of Time Request Terminal Disclaimer below): Return Postcard **Express Abandonment Request** Request for Refund PTO/SB/08A & PTO/SB/08B Supplemental Information Disclosure 15 Reference Copies CD, Number of CD(s) Statement Landscape Table on CD Remarks The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Account 20-1430. Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Townsend and Townsend and Crew LLP Signature Printed name James M. Heslin Date¹ 8105 Reg. No. 29,541 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature

Date

6-8-05

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ennifer O'Brien

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Attorney Docket No.: 021496-002112US Client Reference No.: USGI-001C

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On 6-8-05

THADES

TOWNSEND and TOWNSEND and CREW LLP

Jennifer O'Brien

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

VAHID SAADAT et al.

Application No.: 10/612,491

Filed: July 1, 2003

For: METHODS FOR REDUCTION OF

A GASTRIC LUMEN .

Examiner: Unassigned

Art Unit: 3763

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [excluding cited U.S.Patents, U.S. Patent Application publications, and appropriate IFW-stored, pending U.S. Patent Applications and portions thereof, per 1287 OG 163] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

James M. Heslin Reg. No. 29,541

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

Substitute for form 1449A/PTO

	Complete if Known
Application Number	10/612,491
Filing Date	July 1, 2003
First Named Inventor	SAADAT, VAHID
Art Unit	3763
Examiner Name	Unassigned
Attorney Docket Number	021496-002112US

			U.S. PATENT DO	CUMENTS+	
Examiner Initials*	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	4,235,238	11-25-1980	Ogiu et al.	
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	22	WO	02/060328	A1	08-08-2002	Rex Medical, Inc.		
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	30	wo	2004/084808	A2	10-07-2004	The Research Foundation of State University of New York		
Examiner Signature					Date Consider	red		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind Of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

Substitut	te for form 1449A/PT	0		Complete if Known				
				Application Number	10/612,491			
INFO	DRMATION	DIS	SCLOSURE	Filing Date	July 1, 2003			
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	SAADAT, VAHID			
				Art Unit	3763			
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Sheet	2	of	3	Attorney Docket Number	021496-002112US			

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1			ument Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶	
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	34	wo	2005/03715	2 A1	04-28-2005	Synecor, LLC			
			,						

Examiner Signature	Date Considered	

Substitute	for form 1449B/F	то		Complete if Known			
	D14.4710		OL COURT	Application Number	10/612,491		
			CLOSURE	Filing Date	July 1, 2003		
STAT	EMENT	BY A	PPLICANT	First Named Inventor	SAADAT, VAHID		
				Art Unit	3763		
(use as many s	sheets as	necessary)	Examiner Name	Unassigned		
Sheet 3 of 3		Attorney Docket Number	021496-002112US				

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2					
	35	CHUTTANI et al., "A Novel Endoscopic Full-thickness Plicator for Treatment of GERD: An Animal Model Study," <i>Gastrointestinal Endoscopy</i> , Vol. 26, No. 1,(2002), pp. 116-122.						
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Examiner	Date		
Signature	Considered	İ	

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